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3c966 U.S. PTO

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 37,147  
First Inventor GLORIA ELENA LEON PAZ DE RODRIGUEZ  
Title A METHOD OF PROCESSING BLOOD SAMPLES IN ORDER TO PRODUCE DNA.  
Express Mail Label No. EK694040104US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
5. Oath or Declaration [Total Pages 6]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information.

Examiner \_\_\_\_\_

Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name JAY P. HENDRICKSON  
FOLEY & LARDNER  
Address ONE MARITIME PLAZA, SIXTH FLOOR  
City SAN FRANCISCO State CA Zip Code 94111  
Country U.S.A. Telephone (415) 434-4484 Fax (415) 434-4507

Name (Print/Type) JAY P. HENDRICKSON Registration No. (Attorney/Agent) 37,147  
Signature \_\_\_\_\_ Date 1/17/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

09/764783

01/17/01

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

## Complete if Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

JANUARY 17, 2001

GLORIA ELENA LEON PAZ  
DE RODRIGUEZ

37,147

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

Deposit  
Account  
Name

☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Fee Description
101	710	201	355		Utility filing fee
106	320	206	160		Design filing fee
107	490	207	245		Plant filing fee
108	710	208	355		Reissue filing fee
114	150	214	75		Provisional filing fee

Fee Paid

\$355.00

SUBTOTAL (1) (\$) 355.00

### 2. EXTRA CLAIM FEES

Total Claims  - 20\*\* =  X  =   
Independent Claims  - 3\*\* =  X  =   
Multiple Dependent  =

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Fee Description
103	18	203	9		Claims in excess of 20
102	80	202	40		Independent claims in excess of 3
104	270	204	135		Multiple dependent claim, if not paid
109	80	209	40		** Reissue independent claims over original patent
110	18	210	9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) -0-

\*\*for number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid	
105	130	205	65		Surcharge - late filing fee or oath
127	50	227	25		Surcharge - late provisional filing fee or cover sheet
139	130	139	130		Non-English specification
147	2,520	147	2,520		For filing a request for ex parte reexamination
112	920*	112	920*		Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action
115	110	215	55		Extension for reply within first month
116	390	216	195		Extension for reply within second month
117	890	217	445		Extension for reply within third month
118	1,390	218	695		Extension for reply within fourth month
128	1,890	228	945		Extension for reply within fifth month
119	310	219	155		Notice of Appeal
120	310	220	155		Filing a brief in support of an appeal
121	270	221	135		Request for oral hearing
138	1,510	138	1,510		Petition to institute a public use proceeding
140	110	240	55		Petition to revive - unavoidable
141	1,240	241	620		Petition to revive - unintentional
142	1,240	242	620		Utility issue fee (or reissue)
143	440	243	220		Design issue fee
144	600	244	300		Plant issue fee
122	130	122	130		Petitions to the Commissioner
123	50	123	50		Processing fee under 37 CFR 1.17(q)
126	180	126	180		Submission of Information Disclosure Stmt
581	40	581	40		Recording each patent assignment per property (times number of properties)
146	710	246	355		Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	249	355		For each additional invention to be examined (37 CFR § 1.129(b))
179	710	279	355		Request for Continued Examination (RCE)
169	900	169	900		Request for expedited examination of a design application
Other fee (specify) _____					
SUBTOTAL (3) (\$)					

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Name (Print/Type) JAY P. HENDRICKSON

Signature

Registration No.  
(Attorney/Agent)

37,147

Complete (if applicable)

Telephone

(415) 434-4484

Date

JANUARY 17, 2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

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**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

GLORIA ELENA LEON PAZ  
DE RODRIGUEZ

Title

A METHOD OF PROCESSING BLOOD  
SAMPLES IN ORDER TO PRODUCE DNA

Atty Docket Number

37,147

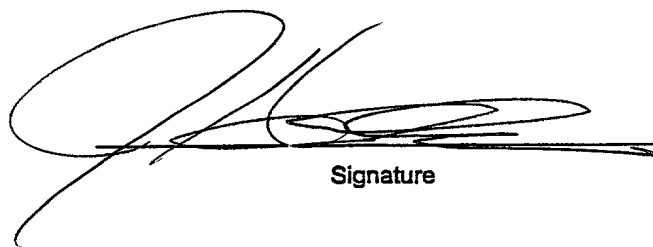
JCS40 U.S. PTO  
09/764783

01/17/01

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

JANUARY 17, 2001

Date



Signature

JAY P. HENDRICKSON

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

**Burden Hour Statement:** This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

PTO/SB/35 (11-00)



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PATENT, TRADEMARK OFFICE

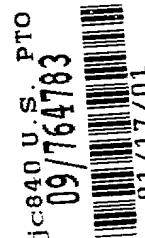
## FOLEY &amp; LARDNER

ATTORNEYS AT LAW

BRUSSELS  
CHICAGO  
DENVER  
DETROIT  
JACKSONVILLE  
LOS ANGELES  
MADISON  
MILWAUKEE

ONE MARITIME PLAZA, SIXTH FLOOR  
SAN FRANCISCO, CALIFORNIA 94111-3404  
TELEPHONE: (415) 434-4484  
FACSIMILE: (415) 434-4507

WRITER'S DIRECT LINE  
(415) 438-6454



ORLANDO  
SACRAMENTO  
SAN DIEGO  
SAN FRANCISCO  
TALLAHASSEE  
TAMPA  
WASHINGTON, D.C.  
WEST PALM BEACH

EMAIL ADDRESS  
jhendrickson@foleylaw.com

CLIENT/MATTER NUMBER  
034621-0101

January 17, 2001

**VIA EXPRESS MAIL [EK694040104US]**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Re: **Patent Application of Gloria Elena Leon Paz De Rodriguez and Gin Wu  
For "A Method Of Processing Blood Samples In Order To  
Produce DNA/Complex Patterns For Diagnostic Applications"  
Application No.:  
Date Filed:**

Dear Sir:

Enclosed please find the following documents:

1. Utility Patent Application Transmittal Form;
2. Utility Patent Application of Gloria Elena Leon Paz De Rodriguez and Gin Wu for A Method Of Processing Blood Samples In Order To Produce DNA/Complex Patterns For Diagnostic Applications, including Claims, Abstract and Drawings;
3. Request And Certification Under 35 U.S.C. 122(b)(2)(B)(i);
4. Declaration of Inventorship and Power of Attorney;
5. Verified Statement Claiming Small Entity Status – Independent Inventor;
6. Statement Claiming Small Entity Status – Small Business Concern; and

T02110"ES249260

FOLEY & LARDNER

Assistant Commissioner for Patents

January 17, 2001

Page 2

7. Fee Transmittal Form and check in the amount of \$355.00 in payment of the filing fee.

Respectfully submitted,

FOLEY & LARDNER

Jay P. Hendrickson  
Reg. No. 37,147

JPH:tmg  
Enclosures

cc: Mr. Victor Vurpillat  
Mr. Gin Wu

Express Mail mailing label no.: **EK694040104US**

Date of Deposit: 1/17/01

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20220

Teresita M. Galamay

Date 1/17/01

